

Sub. For, PTO-1449

INFORMATION DISCLOSURE
IN AN APPLICATION

(Use several sheets if necessary)

Sheet	1	OF	5

Docket Number
106586.185 US1Application Number
10/662,000Applicant
Andrzej J. ChanduszkoFiling Date
09/12/2003Group Art Unit
3661

U.S. Patent Documents

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
NR?	3,874,388	04/01/1975	King et al.	128	334	
	3,875,648	04/08/1975	Bone	29	417	
	4,006,747	02/08/1977	Kronenthal et al.	128	335	
	4,007,743	02/15/1997	Blake	128	334	
	4,425,908	01/17/84	Simon	128	1	
	4,696,300	09/29/1987	Anderson	128	334	
	4,836,204	06/06/1989	Landymore et al.	128	334	
	4,915,107	04/10/1990	Rebuffat et al.	606	144	
	5,021,059	06/04/1991	Kensey, et al.	606	213	
	5,037,433	08/06/1991	Wilk et al.	606	139	
	5,041,129	08/20/1991	Hayhurst et al.	606	232	
	5,108,420	04/28/1992	Marks	606	213	
	5,192,301	03/09/1993	Kamiya et al.	606	213	
	5,222,974	06/29/1993	Kensey, et al.	606	213	
	5,236,440	08/17/1993	Hlavacek	606	219	
	5,257,637	11/02/1993	El Gazayerli	128	898	
	5,282,827	02/01/1994	Kensey et al.	606	215	
	5,284,488	02/08/1994	Sideris	606	213	
	5,304,184	04/19/1994	Hathaway et al.	606	144	
	5,312,435	05/17/1994	Nash, et al.	606	213	
	5,334,217	08/02/1994	Das	606	213	
	5,354,308	10/11/1994	Simon, et al.	606	198	
	5,411,481	05/02/1995	Allen et al.	606	144	
	5,413,584	05/09/1995	Schulze	606	219	
	5,417,699	05/23/1995	Klein et al.	606	144	
	5,425,744	06/20/1995	Fagan et al.	606	213	
	5,433,727	07/18/1995	Sideris	606	213	
✓	5,451,235	09/19/1995	Lock et al.	606	213	

EXAMINER

Natasha Poma

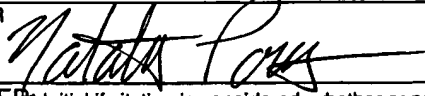
DATE CONSIDERED

6/16/06

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.

Subt. For, PTO-1449				Docket Number 106586.185 US1		Application Number 10/662,000	
INFORMATION DISCLOSURE IN AN APPLICATION <i>(Use several sheets if necessary)</i>				Applicant Andrzej J. Chanduszeko			
				Filing Date 09/12/2003		Group Art Unit 3661	
Sheet	2	OF	5				

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
NRP	5,478,353	12/26/1995	Yoon	606	213	
	5,507,811	04/16/1996	Koike et al.	623	11	
	5,540,712	07/30/1996	Kleshinski et al.	606	198	
	5,601,571	02/11/1997	Moss	606	139	
	5,618,311	04/08/1997	Gryskiewicz	606	216	
	5,634,936	06/03/1997	Linden et al.	606	213	
	5,683,411	11/04/1997	Kavteladze et al.	606	200	
	5,702,421	12/30/1997	Schneidt	606	213	
	5,709,707	01/20/1998	Lock et al.	606	213	
	5,720,754	02/24/1998	Middleman et al.	606	127	
	5,725,552	03/10/1998	Kotula et al.	606	213	
	5,733,294	03/31/1998	Forber et al.	606	151	
	5,741,297	04/21/1998	Simon	606	213	
	5,776,162	07/07/1998	Kleshinski	606	198	
	5,797,960	08/25/1998	Stevens et al.	606	213	
	5,810,884	09/22/1998	Kim	606	213	
	5,823,956	10/20/1998	Roth et al.	600	374	
	5,829,447	11/03/1998	Stevens et al.	128	898	
	5,855,614	01/05/1999	Stevens et al.	623	11	
	5,861,003	01/19/1999	Latson et al.	606	213	
	5,879,366	03/09/1999	Shaw et al.	606	213	
	5,893,856	04/13/1999	Jacob et al.	606	151	
	5,902,319	05/11/1999	Daley	606	219	
	5,904,703	05/18/1999	Gilson	606	213	
	5,919,200	07/06/1999	Stambaugh et al.	606	159	
	5,924,424	07/20/1999	Stevens et al.	128	898	
	5,944,738	08/31/1999	Amplatz et al.	606	213	
V	5,976,174	11/02/1999	Ruiz	606	213	

EXAMINER 	DATE CONSIDERED 6/16/06
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	

Subt. For, PTO-1449				Docket Number 106586.185 US1		Application Number 10/662,000	
INFORMATION DISCLOSURE IN AN APPLICATION <i>(Use several sheets if necessary)</i>				Applicant Andrzej J. Chanduszeko			
				Filing Date 09/12/2003		Group Art Unit 3661	
Sheet	3	OF	5				

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
<i>NR</i>	5,989,268	11/23/1999	Pugsley, Jr. et al.	606	144	
	5,993,475	11/30/1999	Lin et al.	606	213	
	6,010,517	01/04/2000	Baccaro	606	151	
	6,024,756	02/15/2000	Huebsch et al.	606	213	
	6,056,760	05/02/2000	Koike et al.	606	148	
	6,077,291	06/20/2000	Das	606	213	
	6,079,414	06/27/2000	Roth	128	898	
	6,080,182	06/27/2000	Shaw et al.	606	213	
	6,113,609	09/05/2000	Adams	6066	139	
	6,117,159	09/12/2000	Huebsch et al.	606	213	
	6,132,438	10/17/00	Fleischman, et al.	606	139	
	6,165,183	12/26/00	Kuehn, et al.	606	139	
	6,165,204	12/26/2000	Levinson et al.	606	232	
	6,171,329	01/09/2001	Shaw et al.	606	213	
	6,174,322	01/16/2001	Schneidt	606	213	
	6,206,895	03/27/2001	Levinson	606	144	
	6,206,907	03/27/2001	Marino et al.	606	215	
	6,214,029	04/10/2001	Thill et al.	606	213	
	6,217,590	04/17/2001	Levinson	606	142	
	6,221,092	04/24/2001	Koike et al.	606	213	
	6,228,097	05/08/2001	Levinson et al.	606	142	
	6,245,080	06/12/2001	Levinson	606	144	
	6,270,515	08/07/2001	Linden et al.	606	213	
	6,277,138	08/21/2001	Levinson et al.	606	200	
	6,277,139	08/21/2001	Levinson et al.	606	200	
	6,287,317	09/11/2001	Makower et al.	606	153	
	6,290,674	09/18/2001	Roue et al.	604	107	
	6,306,150	10/23/2001	Levinson	606	158	
	6,312,446	11/06/2001	Huebsch et al.	606	213	

EXAMINER <i>Natasha Pous</i>	DATE CONSIDERED <i>6/16/06</i>
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	

Subt. For, PTO-1449				Docket Number 106586.185 US1		Application Number 10/662,000	
INFORMATION DISCLOSURE IN AN APPLICATION <i>(Use several sheets if necessary)</i>				Applicant Andrzej J. Chanduszeko			
				Filing Date 09/12/2003		Group Art Unit 3661	
Sheet	4	OF	5				

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
<i>NRP</i>	6,319,263	11/20/2001	Levinson	606	144	
	6,342,064	01/29/2002	Koike et al.	606	213	
	6,344,049	02/05/2002	Levinson et al.	606	200	
	6,348,041	02/19/2002	Klint	600	585	
	6,352,552	03/05/2002	Levinson et al.	623	1.15	
	6,355,052	03/12/2002	Neuss et al.	606	213	
	6,364,853	04/02/2002	French et al.	604	35	
	6,375,625	04/23/2002	French et al.	600	573	
	6,375,671	04/23/2002	Kobayashi et al.	606	213	
	6,379,342	04/30/2002	Levinson	604	310	
	6,379,368	04/30/2002	Corcoran et al.	606	153	
	6,387,104	05/14/2002	Pugsley, Jr., et al.	606	139	
	6,398,796	06/04/2002	Levinson	606	144	
	6,440,152	08/27/2002	Gainor et al.	606	213	
	6,460,749	10/08/2002	Levinson et al.	227	180.1	
	6,488,706	12/03/2002	Solymar	623	3.1	
	6,494,888	12/17/2002	Laufer, et al.	606	153	
	2001/0034537	10/25/2001	Shaw et al.	606	213	
	2001/0037129	11/01/2001	Thill	606	213	
	2001/0044639	06/15/2001	Levinson	606	228	
	2001/0049492	12/06/01	Frazier, et al.	604	104	
	2002/0010481	01/24/2002	Jayaraman	606	151	
	2002/0183787	06/01/2001	Wahr et al.	606	213	
	2002/0183786	05/30/2001	Girton	606	213	
	2002/0129819	01/19/02	Feldman et al	128	831	
	2002/0099389	03/21/2002	Michler et al.	606	139	
	2002/0043307	04/18/02	Ishida, et al.	148	411	
	2002/0032462	06/10/1999	Houser et al.	606	213	
✓	2002/0029048	08/31/2001	Miller	606	138	

EXAMINER <i>Natasha Pous</i>	DATE CONSIDERED <i>6/16/06</i>
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	

Subt. For, PTO-1449				Docket Number 106586.185 US1		Application Number 10/662,000	
INFORMATION DISCLOSURE IN AN APPLICATION <i>(Use several sheets if necessary)</i>				Applicant Andrzej J. Chanduszeko			
				Filing Date 09/12/2003		Group Art Unit 3661	
Sheet	5	OF	5				

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
<i>NRP</i>	2002/0077555	06/20/02	Schwartz	600	486	
<i>NRP</i>	2003/0059640	03/27/2003	Marton et al.	428	544	

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<i>NRP</i>	WO 00/27292	05/18/2000	PCT	A61B	17/08		
<i>NRP</i>	WO 01/49185	07/12/01	PCT	A61B	17/00		
<i>NRP</i>	EP 1 013 227	06/28/00	EP	A61B	17/00		

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
<i>NRP</i>	A1	Nat'l Aeronautics and Space Administration, "55-Nitinol – The Alloy with a Memory: Its Physical Metallurgy, Properties, and Applications," A Report, pgs. 24-25
<i>NRP</i>	A2	Kimura, A., et al., "Effects of Neutron Irradiation on the Transformation Behavior in Ti-Ni Alloys," Abstract, Proceedings of the Int'l Conf. On Martensitic Transformations (1992) pgs. 935-940
<i>NRP</i>	A3	Ramanathan, G., et al., "Experimental and Computational Methods for Shape Memory Alloys," 15 th ASCE Engineering Mechanics Conf., June 2-5, 2003
<i>NRP</i>	A4	Shabalovskaya, S., "Surface, corrosion and biocompatibility aspects of Nitinol as an implant material," Bio-Medical materials and Engineering, (2002) Vol. 12, pgs. 69-109
<i>NRP</i>	A5	Uchil, J. "Shape Memory Alloys – Characterization Techniques," Pramana – Journal of Physics, (2002) Vol. 58, Nos. 5 & 6, pgs. 1131-1139

EXAMINER <i>Natalia Pons</i>	DATE CONSIDERED <i>6/16/06</i>
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/662,000
Filing Date	September 12, 2003
First Named Inventor	Andrzej J. Chanduszko
Art Unit	3661
Examiner Name	TBA
Attorney Docket Number	106586.185US1

Sheet 1 of 3

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MRP		US-4,710,192	12/01/1987	Liotta et al.	
		US-4,902,508	02/20/1990	Badylak et al.	
		US-4,917,089	04/17/1990	Sideris	
		US-4,956,178	09/11/1990	Badylak et al.	
		US-5,171,259	12/15/1992	Inoue	
		US-5,275,826	01/04/1994	Badylak et al.	
		US-5,312,341	05/17/1994	Turi	
		US-5,480,424	01/02/1996	Cox	
		US-5,486,193	01/23/1996	Bourne et al.	
		US-5,577,299	11/26/1996	Thompson et al.	
		US-5,620,461	04/15/1997	Muijs Van De Moer et al.	
		US-5,626,599	05/06/1997	Bourne et al.	
		US-5,649,950	07/22/1997	Bourne et al.	
		US-5,693,085	12/02/1997	Buirge et al.	
		US-5,733,337	03/31/1998	Carr, Jr. et al.	
		US-5,800,516	09/01/1998	Fine et al.	
		US-5,853,422	12/29/1998	Huebsch et al.	
		US-5,865,791	02/02/1999	Whayne et al.	
		US-5,928,250	06/27/1999	Koike et al.	
		US-5,955,110	09/21/1999	Patel et al.	
		US-5,993,844	11/30/1999	Abraham et al.	
		US-5,997,575	12/07/1999	Whitson et al.	
		US-6,030,007	02/29/2000	Bassily et al.	
		US-6,096,347	08/01/2000	Geddes et al.	
		US-6,106,913	08/22/2000	Scardino et al.	
		US-6,126,686	10/03/2000	Badylak et al.	
		US-6,152,144	11/28/2000	Lesh et al.	
		US-6,187,039 B1	02/13/2001	Hiles et al.	
		US-6,231,561	05/15/2001	Frazier et al.	
		US-6,265,333	07/24/2001	Dzenis et al.	
		US-6,306,424	10/23/2001	Vyakarnam et al.	
		US-6,322,548	11/27/2001	Payne et al.	
		US-6,328,727	12/11/2001	Frazier et al.	
		US-6,334,872 B1	01/01/2002	Termin et al.	
		US-6,346,074	02/12/2002	Roth	
		US-6,402,772	06/11/2002	Amplatz et al.	

Examiner Signature	<i>Natasha Pous</i>	Date Considered	6/16/06
--------------------	---------------------	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is established to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/662,000
Filing Date	September 12, 2003
First Named Inventor	Andrzej J. Chanduszeko
Art Unit	3661
Examiner Name	TBA
Attorney Docket Number	106586.185US1

Sheet	2	of	3
-------	---	----	---

MRP	US-6,419,669	07/16/2002	Frazier et al.
	US-6,436,088	08/20/2002	Frazier et al.
	US-6,482,224	11/19/2002	Michler et al.
	US-6,551,303	04/22/2003	Van Tassel et al.
	US-6,551,344	04/22/2003	Thill
	US-6,596,013	07/22/2003	Yang et al.
	US-6,623,508	09/23/2003	Shaw et al.
	US-6,623,518 B2	09/23/2003	Thompson et al.
	US-6,666,861	12/23/2003	Grabek
	US-6,712,804	03/30/2004	Roue et al.
	US-6,712,836	03/30/2004	Berg et al.
	US2004/0044361	03/04/2004	Franzier et al.
	US-2003/0204203	10/30/2003	Khairkahan et al.
	US-2003/0195530	10/16/2003	Thill
	US-2003/0191495	10/09/2003	Ryan et al.
	US-2003/0139819	07/24/2003	Beer et al.
	US-2003/0100920	05/29/2003	Akin et al.
	US-2003/0050665	03/13/2003	Ginn
	US-2003/0045893	03/06/2003	Ginn
	US-2003/0028213	02/06/2003	Thill et al.
	US-2003/0065379 A1	04/03/2003	Babbas et al.
	US-2002-0128680	09/12/2002	Pavlovic
	US-2002/0183823	12/05/2002	Pappu
	US-2002/0169377 A1	11/14/2003	Khairkahan et al.
	US-2002/0120323 A1	08/29/2002	Thompson et al.
	US-2002/0111647	08/15/2002	Khairkahan et al.
	US-2002/0111637	08/15/2002	Kaplan et al.
	US-2002/0107531	08/08/2002	Schreck et al.
	US-2002/0096183	07/25/2002	Stevens et al.
	US-2002/0077555	06/20/2002	Schwartz
	US-2002/0052572	05/02/2002	Franco et al.
	US-2002/0035374	03/21/2002	Borillo et al.
	US-2002/0026208	02/28/2002	Roe et al.
	US-2002/0019648	02/14/2002	Akerfeldt et al.
	US-2001/0041915	11/15/2001	Roue et al.
	US-2001/0041914	11/15/2001	Frazier et al.
	US-2001/0039435	11/08/2001	Roue et al.
	US-2001/0014800	08/16/2001	Frazier et al.

Examiner Signature	<i>Natatus P...</i>	Date Considered	6/16/06
--------------------	---------------------	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is established to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/662,000
Filing Date	September 12, 2003
First Named Inventor	Andrzej J. Chandusko
Art Unit	3661
Examiner Name	TBA
Attorney Docket Number	106586.185US1

Sheet 3 of 3

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2(If known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
URP ↓		EP 1 046 375	10/25/2000		
		EP 1 222 897	07/17/2002		
		WO 02/24106	03/28/2002		
		WO 03/077733	09/25/2003		
		WO 96/25179	08/22/1996		
		WO 96/31157	10/10/1996		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
URP ↓		Stöckel, "Nitinol Medical Devices and Implants," SMST-2000 Conference Proceedings, 2001, pgs 531-541	
		SMST-2000, "Proceedings of the International Conference on Shape Memory and Superelastic Technologies," 30 April to 4 May 2000, Asilomar Conference Center.	
		Ruiz et al. "The Puncture Technique: A New Method for Transcatheter Closure of Patent Foramen Ovale," Catheterization and Cardiovascular Interventions 53, Wiley-Liss, Inc., 2001, pages 369-372.	
		International Search Report, International Application No. PCT/US03/17390, mailed on October 6, 2003, 4 pgs.	

Examiner Signature	<i>Natalia Pava</i>	Date Considered	6/16/06
--------------------	---------------------	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is established to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Supplement for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

Complete if Known

Application Number	10/662,000
Filing Date	September 12, 2003
First Named Inventor	Chanduszko
Art Unit	3661
Examiner Name	TBA
Attorney Docket Number	106586.185US1

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
NRP		US-2003/171774	09/11/2003	Siegner et al.	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
NRP		WO 03/053493	07/03/2002	NMT Medical, Inc.	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature	<i>Natasha Powers</i>	Date Considered	6/16/06
--------------------	-----------------------	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is established to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/662000-Conf. #8600	
			Filing Date	September 12, 2003	
			First Named Inventor	Andrzej J. CHANDUSZKO	
			Art Unit	3731	
			Examiner Name	N. R. Pous	
Sheet	1	of	2	Attorney Docket Number	0106586.00185US1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
NP	AA*	US-2002/0099389-A1	07-25-2002	Michler et al.	
	AB*	US-2002/0198563-A1	12-26-2002	Gainor et al.	
	AC*	US-2003/0055455-A1	03-20-2003	Yang et al.	
	AD*	US-2004/0210301-A1	10-21-2004	Obermiller	
	AE*	US-2005/0043759-A1	02-24-2005	Chanduszko	
	AF*	US-3,924,631-A	12-09-1975	Mancusi, Jr.	
	AG*	US-4,610,674-A	09-09-1986	Suzuki et al.	
	AH*	US-4,626,245-A	12-02-1986	Weinstein	
	AI*	US-4,840,623-A	06-20-1989	Quackenbush	
	AJ*	US-5,078,736-A	01-07-1992	Behl	
	AK*	US-5,149,327-A	09-22-1992	Oshiyama	
	AL*	US-5,167,637-A	12-01-1992	Okada et al.	
	AM*	US-5,226,879-A	07-13-1993	Ensminger et al.	
	AN*	US-5,350,363-A	09-27-1994	Goode et al.	
	AO*	US-5,955,110-A	09-21-1999	Patel et al.	
	AP*	US-5,976,174-A	11-02-1999	Ruiz	
	AQ*	US-6,143,037-A	11-07-2000	Goldstein et al.	
	AR*	US-6,190,353-B1	02-20-2001	Garibotto et al.	
	AS*	US-6,261,309-B1	07-17-2001	Urbanski	
	AT*	US-6,344,048-B1	02-05-2002	Chin et al.	
	AU*	US-6,726,696-B1	04-27-2004	Houser et al.	
	AV*	US-6,551,344-B2	04-22-2003	Thill	
	AW*	US-6,548,569-B1	04-15-2003	Williams et al.	
	AX*	US-6,838,493-B2	01-04-2005	Williams et al.	
	AY*	US-6,867,247-B2	03-15-2005	Williams et al.	
	AZ*	US-6,610,764-B1	08-26-2003	Martin et al.	
	AA1*	US-6,828,357-B2	12-07-2004	Martin et al.	
	AB1*	US-6,867,248-B2	03-15-2005	Martin et al.	
	AC1*	US-2004-0234567-A1	11-25-2004	Dawson	
	AD1*	US-20050025809-A1	02-03-2005	Hasirci et al.	
	AE1*	US-6,071,998-A	06-06-2000	Muller et al.	
	AF1*	US-6,689,589-B1	02-10-2004	Huisman et al.	
	AG1*	US-2002-0164729-A1	11-07-2002	Skraly et al.	
	AH1*	US-6,245,537-B1	06-12-2001	Williams et al.	
	AI1*	US-5,245,023-A	09-14-1993	Peoples et al.	
	AJ1*	US-5,250,430-A	10-05-1993	Peoples et al.	
	AK1*	US-5,534,432	07-09-1996	Peoples et al.	
	AL1*	US-5,663,063-A	09-02-1997	Peoples et al.	
	AM1*	US-6,316,262-B1	11-13-2001	Huisman et al.	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ² -Number ⁴ -Kind Code ⁵ (if known)					
NP	BA	EP-0362113		04-04-1990	Eleftherios Sidenis		
Examiner Signature	/Natalie Pous/ (06/16/2006)				Date Considered		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substituta for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/662000-Conf. #8600
				Filing Date	September 12, 2003
				First Named Inventor	Andrzej J. CHANDUSZKO
				Art Unit	3731
				Examiner Name	N. R. Pous
Sheet	2	of	2	Attorney Docket Number	0106586.00185US1

NP	BB	EP-0474887-A1	03-18-1992	Inoue	
	BC	EP-0839549-A1	05-06-1998	Cordis Corporation	
	BD	WO-00/44428-A2	08-03-2000	Adam Spense Europe Limited	
	BE	WO-01/21247-A1	03-29-2001	Appriva Medical, Inc.	
	BF	WO-01/30268-A1	05-03-2001	Atritech, Inc.	
	BG	WO-01/78596-A1	10-25-2001	Appriva Medical, Inc.	
	BH	WO-02/17809-A1	03-07-2002	Kaplan et al.	
	BI	WO-03/024337-A1	03-27-2003	Scimed Life Systems, Inc.	
	BJ	WO-03/103476-A2	12-18-2003	NMT Medical, Inc.	
	BK	DE-9413645-U1	10-27-1994	Schneidt, Bernhard	
	BL	WO-98/07375-A1	02-26-1998	The Trustees of Columbia University	
	BM	WO-98/29026-A2	07-09-1998	Imagyn Medical Technologies, Inc.	
	BN	WO-99/05977-A1	02-11-1999	Boston Scientific Corporation	
	BO	WO-99/18862-A1	04-22-1999	Hearten Medical, Inc.	
	BP	WO-99/18864-A1	04-22-1999	Hearten Medical, Inc.	
	BQ	WO-99/18870-A1	04-22-1999	Hearten Medical, Inc.	
	BR	WO-99/18871-A1	04-22-1999	Hearten Medical, Inc.	
	BS	WO-99/30640-A1	06-24-1999	Boston Scientific Limited	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
NP	CA	MEIER, MD, Bernhard, et al., "Contemporary Management of Patent Foramen Ovale," American Heart Association, Inc., Circulation, 2003, Vol. 107, pp 5-9.		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Natalie Pous/ (06/16/2006)	Date Considered	
--------------------	-----------------------------	-----------------	--